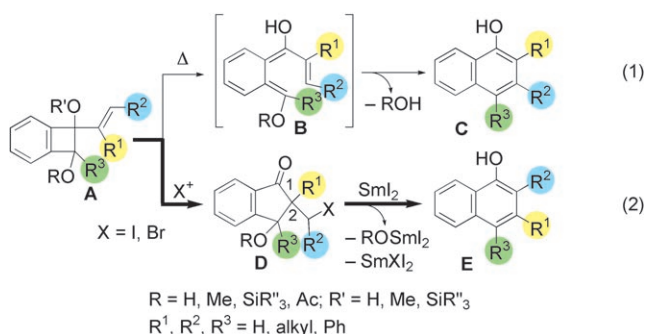


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# Tandem Ring Expansion of Alkenyl Benzocyclobutenol Derivatives into Substituted Naphthols\*\*

Toshiyuki Hamura, Takeaki Suzuki,  
Takashi Matsumoto, and Keisuke Suzuki\*

In our continuing studies on the synthesis of polyaromatic compounds,<sup>[1]</sup> we previously reported thermal conversion of alkenyl benzocyclobutene **A** into functionalized naphthol **C** by tandem electrocyclic reactions [Eq. (1)].<sup>[2]</sup> We report



herein a process that is capable of converting the same starting material **A** into *isomeric* naphthol **E** [Eq. (2)]. The process involves two successive ring enlargements (**A** → **D** → **E**): the halonium ion ( $X^+$ ) induces ring expansion of alkenyl benzocyclobutene **A** (four-membered ring) to indanone **D** (five-membered ring), and  $SmI_2$  promotes expansion of **D** to naphthol **E** (six-membered ring) with concomitant elimination of  $ROSmI_2$ .

This tandem 4 → 5 → 6 ring-enlargement process starts with the 4 → 5 ring enlargement triggered by the halogenation of diol derivative **1** (Table 1).<sup>[3]</sup> When alcohol **1** was treated with ICl (1.5 equivalents) in THF (0 °C → RT), the ring enlargement occurred smoothly to give iodomethyl indanone **2a** in 90 % yield as a mixture of stereoisomers (Table 1, entry 1).<sup>[4]</sup> An NOE study showed that the major product of **2a** has the *cis* configuration with respect to the iodomethyl and the

**Table 1:** 4 → 5 Ring enlargement triggered by the halogenation of diol derivative **1**.

$X = I$ : **2a-cis**       $X = I$ : **2a-trans**  
 $X = Br$ : **2b-cis**       $X = Br$ : **2b-trans**

Entry	Conditions	Yield [%]	<i>cis/trans</i>
1	ICl, THF, 0 °C → RT	90	1.6:1
2	NBS, CH <sub>2</sub> Cl <sub>2</sub> , -78 → -10 °C	quant.	3.2:1

Ac = acetyl, NBS = *N*-bromosuccinimide.

acetoxy groups. Similarly, bromination of **1** was also effective (1.5 equivalents NBS, CH<sub>2</sub>Cl<sub>2</sub>, -78 → -10 °C) and gave bromomethyl indanone **2b** in quantitative yield (Table 1, entry 2).

The second step, 5 → 6 ring enlargement, is the intramolecular Barbier-type reaction of halomethyl indanone and subsequent Grob fragmentation<sup>[5]</sup> of the resulting cyclopropanol intermediate (Table 2).<sup>[6]</sup>

**Table 2:** 5 → 6 Ring enlargement by an intramolecular Barbier-type reaction and subsequent Grob fragmentation of halomethyl indanone **2**.

Entry	Conditions	<i>t</i> [h]	Yield of <b>3</b> [%]	Yield of <b>4</b> [%]
1	THF/HMPA <sup>[a]</sup>	8	15 <sup>[d]</sup>	78
2	THF/HMPA <sup>[a]</sup> , BF <sub>3</sub> ·Et <sub>2</sub> O <sup>[b]</sup>	2.5	—	82
3	CH <sub>3</sub> CN <sup>[c]</sup>	4	—	79

[a] 11–14 % HMPA. [b] 2.0–2.5 equivalents. [c]  $SmI_2$  in CH<sub>3</sub>CN. [d] Cyclopropanol **3** has the *trans* configuration with respect to the hydroxy and acetoxy groups on the five-membered ring. HMPA = hexamethyl phosphoramide.

Indanone **2a-cis** was used for the initial model study, which revealed several sets of suitable conditions. Upon treatment of **2a-cis** with  $SmI_2$  (0.1 M in THF) in THF/HMPA, the starting material was quickly consumed, thereby giving naphthol **4** in 78 % yield and a sizable amount of cyclopropanol **3** (Table 2, entry 1).<sup>[7]</sup> Monitoring of the reaction by TLC showed the initial formation of cyclopropanol **3**, which was gradually consumed to give naphthol **4**. The process is rationalized by selective cleavage of the C1–C2 bond and elimination of samarium acetate. Although prolonged reaction time and/or higher reaction temperature was incapable of driving the *in situ* conversion of cyclopropanol **3** to the final product **4**, we were pleased to find that the conversion was facilitated by the presence of BF<sub>3</sub>·Et<sub>2</sub>O (Table 2, entry 2) or by the use of CH<sub>3</sub>CN as the solvent (Table 2, entry 3), thus giving naphthol **4** in high yield.<sup>[8,9]</sup>

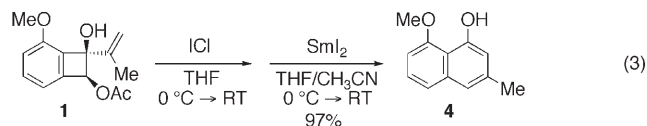
With these results in hand, we attempted to carry out the two consecutive reactions *in one pot*, which proved to be successful. Thus, alcohol **1** was treated with ICl (1.4 equiv-

[\*] Dr. T. Hamura, T. Suzuki, Dr. T. Matsumoto, Prof. Dr. K. Suzuki  
Department of Chemistry  
Tokyo Institute of Technology, and SORST  
Japan Science and Technology Agency (JST)  
2-12-1 O-okayama, Meguro-ku, Tokyo 152-8551 (Japan)  
Fax: (+81) 3-5734-2788  
E-mail: ksuzuki@chem.titech.ac.jp

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alents) in THF at 0 °C, and the reaction was stirred for 40 minutes at room temperature. The reaction mixture was again chilled to 0 °C, and 3.4 equivalents of SmI<sub>2</sub> (0.07 M in CH<sub>3</sub>CN) was added. Subsequent stirring at room temperature for four hours cleanly gave naphthol **4** as the sole product in 97% yield [Eq. (3)]. The almost quantitative yield in this



particular instance implies that both isomers of the initially formed indanones **2a-cis** and **2a-trans** took part in the second 5→6 ring enlargement. Importantly, this one-pot procedure gave a higher yield of **4** than the overall yield by the reactions that were performed separately.

Table 3 shows the application of this one-pot protocol to various substrates. Under the same conditions, the reaction of compound **5**, isomeric to **1**, also proceeded smoothly (Table 3,

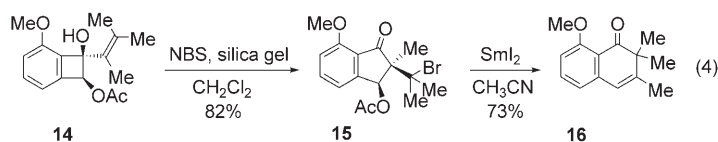
**Table 3:** One-pot preparation of substituted naphthols.

Entry	Benzocyclobutene <sup>[a]</sup>	Product	Yield [%]
1			85
2			96
3			88
4			77
5			78

<sup>[a]</sup> Conditions: ICl, THF, 0 °C→RT (20–40 minutes), then SmI<sub>2</sub> in CH<sub>3</sub>CN, 0 °C→RT (1.5–4 hours).

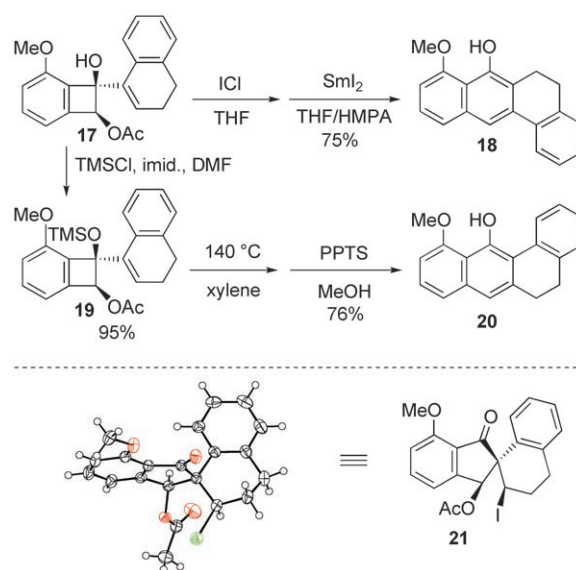
entry 1). Likewise, the reaction of  $\alpha$ -styryl alcohol **6** gave naphthol **11** in 96% yield (Table 3, entry 2). The reactions worked well with substrates **7** and **8**, which have one additional methyl group at the  $\beta$  position of the olefin with *E* or *Z* geometry, and afforded the tetrasubstituted naphthalene **12** in high yields (Table 3, entries 3 and 4).<sup>[10]</sup> Arylated substrate **9**<sup>[11]</sup> also underwent smooth ring expansion to give 78% yield of aryl naphthalene **13** (entry 5).

Furthermore, the process of successive ring enlargement proved to be applicable to substrates with more highly substituted olefinic moieties [Eq. (4)]. Treatment of **14** with NBS in the presence of silica gel<sup>[12]</sup> gave the bromoisopropyl



indanone **15**, which was smoothly converted into the corresponding ketone **16** by the Barbier reaction. ICl was ineffective in this case and gave only a complex mixture of products, presumably because of the instability of the intermediary tertiary alkyl iodide.

The process is applicable to the synthesis of natural-product-like structures, such as angucycline-type tetracycle **18** (Scheme 1).<sup>[13]</sup> After treatment of compound **17**,<sup>[11]</sup> which has



**Scheme 1.** Divergent sSynthesis of isomeric tetracycles **18** and **20**. Lower left: molecular structure of **21**; thermal ellipsoids set at 50% probability (O red, I green). PPTS = pyridinium *p*-toluenesulfonate; imid. = imidazole.

a dihydronaphthalene substituent, with ICl (THF, 0 °C→RT, 0.5 hours), the reaction was warmed to 40 °C, and HMPA and subsequently SmI<sub>2</sub> (0.1 M in THF, 10 minutes) were added to afford the angular tetracycle **18**<sup>[11]</sup> in 75% yield. It should be noted that this one-pot reaction proceeded smoothly even though the intermediates that are involved should have highly strained polycyclic structures.

It is thus interesting to note the X-ray structure of spiroketone **21**<sup>[14]</sup> (the major isomer), which was obtained by interception of the above process at the initial iodination stage (92% yield, major/minor 2.4:1). The structure is intriguing in relation to the synthesis of polycyclic natural products containing a spiro center.<sup>[15]</sup> The stereoselectivity of the iodination was enhanced to 5.6:1 by employing BnMe<sub>3</sub>N<sup>+</sup>ICl<sub>2</sub><sup>−</sup> as the iodinating agent.

This process constitutes one of the two complementary processes that allow divergent syntheses of isomeric polyaromatic compounds from a single starting material. Indeed, the

isomeric angular tetracycle **20**<sup>[11]</sup> was accessible from the same alcohol **17** in high yield by silylation, thermolysis, and desilylation of the initially formed ring-expanded product [see Eq. (1)].

In summary, we have described a facile synthesis of substituted polyaromatic compounds by successive ring expansion of alkenyl benzocyclobutenes. Further studies are currently in progress.

### Experimental Section

General experimental procedures for the synthesis of naphthols (one-pot procedure): A solution of acetate **1** (121 mg, 0.489 mmol) in THF (2.0 mL) was added to a solution of ICl (114 mg, 0.702 mmol) in THF (1.5 mL) at 0°C. The reaction was stirred for 40 min at room temperature. SmI<sub>2</sub> (0.07 M in CH<sub>3</sub>CN, 24 mL, 1.7 mmol) was added to the reaction mixture at 0°C, and the temperature raised to room temperature. After 4 h, the reaction was quenched with saturated aqueous NH<sub>4</sub>Cl. The products were extracted with EtOAc (3 × 10 mL), and the combined organic extracts were washed with brine, dried over Na<sub>2</sub>SO<sub>4</sub>, and concentrated in vacuo. The residue was purified by silica gel flash column chromatography (hexane/EtOAc = 9:1) to give naphthol **4** (89.3 mg, 97.0%).

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